

## RESTRICTED PARTITIONS AND $SL_2$ -COHOMOLOGY

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ABSTRACT. The aim of this paper is twofold. First, we study the number of partitions of a positive integer  $m$  into at most  $n$  parts in a given set  $A$ . We prove that such a number is bounded by the  $n$ -th Fibonacci number  $F(n)$  for any  $m$  and some family of sets  $A$  including sets of powers of an integer. Then, in the second part of the paper, we provide new results in bounding the cohomology of the simple algebraic group  $SL_2$  with coefficients in Weyl modules.

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### 1. INTRODUCTION

Let  $A$  be a subset of  $\mathbb{Z}^+$  and  $m$  in  $\mathbb{Z}^+$ . A *restricted partition* of  $m$  with parts in  $A$  is a decomposition

$$(1) \quad m = \alpha_1 + \alpha_2 + \cdots + \alpha_t$$

where  $\alpha_i$ s are not necessarily distinct elements in  $A$  and  $\alpha_1 \leq \alpha_2 \leq \cdots \leq \alpha_t$ . Each  $\alpha_i$  is called a *part* of the partition, and  $t$  is the number of parts in the partition. For example, let  $A_2 = \{2^i : i \in \mathbb{N}\}$  the set of all powers of 2. Then 5 has 4 restricted partitions in  $A_2$ :  $1 + 1 + 1 + 1 + 1$ ,  $1 + 1 + 1 + 2$ ,  $1 + 2 + 2$ , and  $1 + 4$ . These are called 2-ary partitions of 5. In this paper, we are interested in restricted partitions of the form (1) where  $t$  is at most  $n$  for some given positive integer  $n$ . We call them partitions of  $m$  into at most  $n$  parts from  $A$  and denote  $P(m, n, A)$  the number of such partitions. Note that when  $A = \mathbb{Z}^+$ , i.e., there is no restriction for parts of partitions, computing  $P(m, n, A)$  is a classical problem. Some first results on small values of  $n$  date back to the 19<sup>th</sup> century by Herschel, Cayley, and Sylvester [WW]. Recently, some progress has been made by [K],[M], and [O]. In terms of an arbitrary set  $A$ , not much is known for  $P(m, n, A)$ . One may refer to [CW] and [A] for a discussion on the number of some related partitions. In Section 2 we show that for any set  $A$  satisfying a mild growth condition,  $P(m, n, A)$  is bounded from above by the  $n$ -th Fibonacci number  $F(n)$  for all  $m, n \in \mathbb{Z}^+$ . This bound is universal in the sense that it does not depend on the integer  $m$ . As a consequence, our result deduces an upper bound for the number of  $q$ -ary partitions of  $m$  into at most  $n$  parts for any positive integer  $q \geq 2$ .

Our work on restricted partitions is motivated by studying the cohomology of the simple algebraic group  $SL_2$  defined over an algebraically closed field  $k$  of prime characteristic  $p$ . Note that, in general, the cohomology of algebraic groups is widely

unknown and only a few cases have been computed explicitly, see [Jan] for a summary of this theory. Although the case of  $SL_2$  has been extensively studied, there are still open problems. For example, determining a closed formula of the dimension of the cohomology for a simple (or indecomposable) module remains unknown. In fact, it is already a challenging task to find a sharp upper bound for this number. For the last few years, the third author has been interested in bounding the dimension of  $H^n(SL_2, V(m))$ , the  $SL_2$ -cohomology for the Weyl module  $V(m)$  of highest weight  $m \in \mathbb{N}$ . This problem is of independent interest and has its own history [EHP], [LNZ]. Together with Lux and Zhang, the third author was able to identify  $\dim H^n(SL_2, V(m))$  with the number of solutions to a system of linear equations, hence attaining a rough upper bound, see [LNZ, Section 4] for details. In Section 3 we use results from Section 2 to show that for any  $m, n \in \mathbb{N}$

$$\dim H^n(SL_2, V(m)) \leq \begin{cases} F(n+1) & \text{if } p \geq 5, \\ F(2n) & \text{if } p = 2, 3 \end{cases}$$

which significantly improves the bounds in [LNZ, Cor. 4.3, Prop. 4.4, and Thm. 4.6].

It is worth noting that there is a desire of finding explicit universal bounds (only depending on the degree  $n$ ) for any simple algebraic group, see [1] et al. for a survey on this open problem. Currently, we are not able to generalize our results (for  $SL_2$ ) to arbitrary algebraic groups. However, we suspect that there might be some connection between the dimension of cohomology of an algebraic group and the number of restricted vector partitions<sup>1</sup> (a generalization of restricted integer partitions). Hence, it is reasonable to ask whether there exists a universal upper bound for the latter. This would be an interesting problem for future research.

## 2. RESTRICTED PARTITIONS OF $m$ INTO AT MOST $n$ PARTS

In this section, we study the number  $P(m, n, A)$  for various sets  $A$ . We aim to bound this number using the Fibonacci numbers. For the sake of our calculations, we first identify these partitions with integer solutions of a certain system of equations. In particular, we write  $A = \{a_1, a_2, \dots\}$  with  $0 < a_1 < a_2 < \dots$ . Let  $m \in \mathbb{Z}^+$  and  $a_r$  the largest number in  $A$  that is no more than  $m$ . Then each restricted partition of the form (1) can be rewritten as

$$m = x_1 a_1 + x_2 a_2 + \dots + x_r a_r$$

where  $x_i$ s are non-negative integers. Each  $x_i$  is called the *multiplicity* of  $a_i$  in the partition. As multiplicities are allowed to be zeros, every partition of  $m$  is uniquely determined by a sequence  $\{x_i\}$  satisfying

$$m = \sum_{i=1}^{\infty} x_i a_i$$

where  $x_i = 0$  for large enough  $i$  (such that  $a_i > m$ ). Thus, the number of restricted partitions of  $m$  is equal to the number of such sequences  $\{x_i\}$ . Now, if we require

<sup>1</sup>A vector partition is a way of writing a vector with nonnegative integer entries as a sum of other vectors (with nonnegative integer entries) where the order of summands does not matter.

the number of parts in every partition of  $m$  to be at most  $n$ , then  $P(m, n, A)$  is in fact equal to the number of sequences  $\{x_i\}$  of non-negative integers such that

$$\begin{cases} \sum_{i=1}^{\infty} x_i a_i = m, \\ \sum_{i=1}^{\infty} x_i \leq n. \end{cases}$$

Solutions to the last system are essentially the same as tuples  $(x_1, x_2, \dots, x_r)$  satisfying

$$(2) \quad \begin{cases} x_1 a_1 + x_2 a_2 + \dots + x_r a_r = m, \\ x_1 + x_2 + \dots + x_r \leq n \end{cases}$$

for sufficiently large  $r$ . From now on, we identify  $P(m, n, A)$  with the number of solutions to the system (2).

We next recall the definition of Fibonacci sequence. Let

$$F(1) = 1, \quad F(2) = 1, \quad F(n) = F(n - 1) + F(n - 2),$$

for  $n \geq 2$ . We also assume  $F(i) = 0$  for all integers  $i \leq 0$ . Our inductive proofs will use the following special property of the Fibonacci sequence.

**Lemma 2.1.** *For each positive integer  $n$ , we have*

$$\sum_{i=0}^n F(2i + 1) = F(2n + 2) \quad \text{and} \quad \sum_{i=0}^n F(2i) = F(2n + 1) - 1.$$

Consequently, we always have

$$\sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} F(n - 2i) \leq F(n + 1).$$

Proof of the lemma is straightforward. We now prove the following

**Theorem 2.2.** *Let  $A = \{a_1, a_2, \dots\} \subseteq \mathbb{Z}^+$  where all  $a_i$ s are written in an increasing order and satisfy*

$$(3) \quad 2a_{s-1} + 4a_{s-2} + 6a_{s-3} + \dots + 2(s - 1)a_1 < a_s$$

for all  $s \geq 2$ . Then  $P(m, n, A) \leq F(n)$  for all positive integers  $m, n$ .

*Proof.* We prove by induction on  $n$ . Obviously,  $P(m, 1, A) = 0$  or  $1$ , so that it is true for  $n = 1$ . Let's take a look further to the case  $n = 2$ <sup>2</sup>. We also have  $P(m, 2, A) \leq 1$  because for each  $m$  and  $a_s$ , the largest number in  $A$  such that  $a_s \leq m$ , the condition (3) guarantees that  $a_s$  is not equal to  $2a_i$  nor  $a_i + a_j$  for any  $1 \leq i, j \leq s - 1$ . Assume that  $P(m, t, A) \leq F(t)$  for any  $t < n$  with  $n \geq 3$ . Now fix  $m$  and again let  $a_s$  be the largest number in  $A$  such that  $a_s \leq m$ . Since  $2a_{s-1} + 4a_{s-2} + 6a_{s-3} + \dots + 2(s - 1)a_1 < a_s \leq m$ , we must have either one (or more) of the following

$$x_s \geq 1, x_{s-1} \geq 3, x_{s-2} \geq 5, \dots, x_1 \geq 2s - 1.$$

<sup>2</sup>Since  $F(1) = F(2) = 1$ , it is necessary to show the base case with  $n = 1, 2$ , for otherwise we would have no idea whether  $P(m, 1, A)$  is bounded by  $F(1)$  or  $F(2)$ . We will need to do the same for other inductive proofs in this paper.

Solutions of (2) combined with  $x_s \geq 1$  are essentially solutions of

$$\begin{cases} x_1 a_1 + x_2 a_2 + \dots + x_{s-1} a_{s-1} + x'_s a_s = m - a_s, \\ x_1 + x_2 + \dots + x_{s-1} + x'_s \leq n - 1 \end{cases}$$

by setting  $x_s = 1 + x'_s$ . The number of such solutions is  $P(m - a_s, n - 1, A)$ . Similarly, numbers of solutions to (2) with other conditions are respectively  $P(m - 3a_{s-1}, n - 3, A), \dots$ , and  $P(m - (2s - 1)a_1, n + 1 - 2s, A)$ . Hence, we obtain

$$P(m, n, A) \leq P(m - a_s, n - 1, A) + P(m - 3a_{s-1}, n - 3, A) + \dots + P(m - (2s - 1)a_1, n + 1 - 2s, A).$$

Next, applying our inductive hypothesis and Lemma 2.1 we have

$$P(m, n, A) \leq F(n - 1) + F(n - 3) + \dots + F(n + 1 - 2s) \leq F(n),$$

hence completing our inductive proof.  $\square$

We present some examples of the set  $A$  in the above theorem.

**Theorem 2.3.** *Let  $q$  be an integer greater than 3 and set  $A_q = \{q^i : i \in \mathbb{N}\}$ . Then  $A_q$  satisfies the condition (3). Consequently,*

$$P(m, n, A_q) \leq F(n).$$

*Proof.* It suffices to show that for any  $s \geq 1$

$$2q^{s-1} + 4q^{s-2} + \dots + 2(s - 1)q + 2s < q^s.$$

This can be proven by induction on  $s$ . Indeed, it is easy to see that it's true for  $s = 1$ . Now to show that

$$2q^s + 4q^{s-1} + \dots + 2sq + 2(s + 1) < q^{s+1},$$

note that

$$\begin{aligned} & 2q^s + 4q^{s-1} + \dots + 2sq + 2(s + 1) \\ &= 2q^s + (2q^{s-1} + 4q^{s-2} + \dots + 2(s - 1)q + 2s) + (2q^{s-1} + 2q^{s-2} + \dots + 2q + 2) \\ &< 2q^s + q^s + 2(q^{s-1} + q^{s-2} + \dots + q + 1) \text{ by induction} \\ &\leq 3q^s + q^s - 1 < 4q^s \leq q^{s+1} \text{ using } q > 3 \text{ at multiple points.} \end{aligned}$$

This proves our induction proof. The remainder follows immediately from Theorem 2.2.  $\square$

**Remark 2.4.** The sets  $A_2$  and  $A_3$  do not satisfy (3). Moreover, the inequality in the above theorem doesn't hold for  $A_2$  as we have

$$4 = 1 \cdot 2^2 = 2 \cdot 2 = 2 \cdot 1 + 1 \cdot 2.$$

Hence,  $P(4, 3, A_2) = 3 > F(3)$ .

We next modify the condition on the set  $A$  in the Theorem 2.2 so that  $A_2$  and  $A_3$  will satisfy it.

**Theorem 2.5.** *Let  $A = \{a_1, a_2, \dots\} \subseteq \mathbb{Z}^+$  where all  $a_i$ s are written in an increasing order and satisfy*

$$(4) \quad a_{s-1} + 2a_{s-2} + 3a_{s-3} + 4a_{s-4} + \dots + (s - 1)a_1 < a_{s+1}$$

*for all  $s \geq 2$ . Then  $P(m, n, A) \leq F(2n - 1)$  for all positive integers  $m, n$ .*

*Proof.* It is straightforward to check that it is true for  $n = 1, 2$ . Assume that  $P(m, t, A) \leq F(2t - 1)$  for any  $t < n$  with  $n \geq 3$ . Now fix  $m$  and let  $a_{s+1}$  be the largest number in  $A$  such that  $a_{s+1} \leq m$ . From the condition (4), we must have either one (or more) of the following

$$x_{s+1} \geq 1, x_s \geq 1, x_{s-1} \geq 2, x_{s-2} \geq 3, \dots, x_1 \geq s.$$

Now the argument goes very similar with that of Theorem 2.2. We then obtain  $P(m, n, A) \leq P(m - a_{s+1}, n - 1, A) + P(m - a_s, n - 1, A) + \dots + P(m - a_1, n - s, A)$ .

Next, applying our inductive hypothesis and Lemma 2.1 we have

$$\begin{aligned} P(m, n, A) &\leq F(2n - 3) + F(2n - 3) + F(2n - 5) + \dots + F(2n - 2s - 1) \\ &\leq F(2n - 3) + F(2n - 2) = F(2n - 1), \end{aligned}$$

hence completing our inductive proof. □

**Corollary 2.6.** *For  $m, n \in \mathbb{Z}^+$ , we have  $P(m, n, A_q) \leq F(2n - 1)$  for  $q \geq 2$ .*

*Proof.* From the last theorem, it suffices to show that  $A_q$  satisfies the condition (4) with  $q \geq 2$ . Indeed, we claim that for any  $r \in \mathbb{N}$ ,

$$\sum_{i=1}^{r+1} i \cdot q^{r+1-i} < q^{r+2}.$$

Proceeding by induction on  $r$ , the base case  $r = 0$  is obviously true. Assume inductively that the inequality holds for some  $r$ . Now observe that

$$\sum_{i=1}^{r+2} i \cdot q^{r+2-i} = \sum_{i=1}^{r+1} i \cdot q^{r+1-i} + \sum_{j=0}^{r+1} q^{r+1-j} \leq \sum_{i=1}^{r+1} i \cdot q^{r+1-i} + q^{r+2} - 1.$$

By the inductive hypothesis, the last term is less than  $q^{r+2} + q^{r+2} - 1 < q^{r+3}$ ; hence completing our inductive proof. □

**Remark 2.7.** Since  $F(n) \leq F(2n - 1)$ , Theorem 2.3 immediately implies Corollary 2.6 for  $q > 3$ . It can also be observed that condition (4) is weaker than (3), i.e., that any set  $A$  satisfying condition (3) also satisfies condition (4). The weaker condition allows one to consider a larger collection of sets  $A$ , particularly  $A_2$  and  $A_3$ , but at the expense of a bound on  $P(m, n, A)$  that is not as good as the original.

We end this section with an interpretation of our results in terms of  $q$ -ary partitions, which are partitions of an integer into powers of  $q$ . It follows that  $P(m, n, A_q)$  is the number of  $q$ -ary partitions of  $m$  into at most  $n$  parts. Hence, rephrasing the above results, we obtain the following

**Corollary 2.8.** *For  $m, n \in \mathbb{Z}^+$ , the number of  $q$ -ary partitions of  $m$  into at most  $n$  parts is no more than  $F(n)$  if  $q \geq 4$  or  $F(2n - 1)$  if  $q = 2$  or  $3$ .*

### 3. COHOMOLOGY OF $SL_2$

The goal in this section is to bound the dimension of cohomology for Weyl modules over  $SL_2$  using results from the previous section. For general background of rational cohomology of algebraic groups, the audience may refer to [Jan]. We only introduce here necessary material for our calculations. We use the same notation and conventions as in [LNZ]. In particular, let  $k$  be an algebraically closed field of prime characteristic  $p > 0$ . We fix  $G = SL_2$  defined over  $k$  and a torus subgroup  $T$

of  $G$ . Then the set of dominant weights associated with  $T$  can be identified with  $\mathbb{N}$ . Weyl modules (over  $SL_2$ ) are indecomposable modules that are parametrized by dominant weights. Explicitly, we denote  $V(m)$  the Weyl module of highest weight  $m$  for each  $m \in \mathbb{N}$  with  $V(0) = k$  the trivial module.

For  $G$ -modules  $M$  and  $N$ ,  $\text{Ext}_G^n(M, N)$  is the  $n$ -th degree extension space of  $M$  by  $N$ . When  $M = k$ , this space is called the  $n$ -th cohomology space of  $G$  with coefficients in  $N$  and denoted  $H^n(G, N)$ . The notation  $\dim H^n(G, N)$  (or  $\dim \text{Ext}_G^n(M, N)$ ) denotes the dimension of the cohomology (or extension) as a vector space over  $k$ . We are interested in estimating an upper bound for these quantities.

We recall from [LNZ, Theorem 4.2] that if  $p$  is an odd prime, then for any integers  $m, n \geq 0$  the dimension  $\dim H^n(SL_2, V(m))$  is equal to the number of solutions to the system

$$(5) \quad \begin{cases} 2 \sum_{i=1}^r a_i + \sum_{j=1}^r b_j = n + 1, \\ b_1 + \sum_{i=1}^{r-1} (a_i + b_{i+1})p^i + a_r p^r = \frac{m}{2} + 1, \end{cases}$$

where all  $a_i$ s are in  $\mathbb{N}$ ,  $b_i$  is either 0 or 1.<sup>3</sup> Here  $r$  is a sufficiently large integer in term of  $m$ . Note that the system (5) has no solutions if  $m$  is odd. Therefore, whenever considering the cohomology  $H^n(SL_2, V(m))$  we are only interested in the case when  $m$  is even.

Let  $N(m, n)$  be the number of solutions to the system

$$(6) \quad \begin{cases} 2 \sum_{i=1}^r a_i + \sum_{j=1}^r b_j = n, \\ b_1 + \sum_{i=1}^{r-1} (a_i + b_{i+1})p^i + a_r p^r = m. \end{cases}$$

Then we can deduce that  $\dim H^n(SL_2, V(m)) = N\left(\frac{m}{2} + 1, n + 1\right)$  for  $m, n \in \mathbb{N}$ . We next prove the main result of this section, which strengthens [LNZ, Proposition 4.4] as we are now able to remove the condition  $n \leq 2p - 3$ .

**Theorem 3.1.** *Assume  $p \geq 5$ . For all integers  $m, n \geq 0$ , we have*

$$\dim H^n(SL_2, V(m)) \leq F(n + 1).$$

*Proof.* From earlier set up, we need to prove that  $N\left(\frac{m}{2} + 1, n + 1\right) \leq F(n + 1)$  for every integer  $n \geq 0$  and even integer  $m \geq 0$ . This is then reduced to showing that  $N(m, n) \leq F(n)$  for all positive integers  $m, n$  (with  $m$  replacing  $\frac{m}{2} + 1$  and  $n$  replacing  $n + 1$ ). We again proceed by an inductive argument on  $n$ . By [LNZ, Proposition 3.6], the last inequality holds for  $n \leq 8$ . For any positive  $n \geq 9$ , assume that the inequality holds up to  $n - 1$ . Since  $b_1$  is either 0 or 1, we must have  $m$  is congruent to either 0 or 1 modulo  $p$ , for otherwise,  $N(m, n) = 0$  for all  $n$ . If  $m \equiv 1 \pmod{p}$ , then  $b_1 = 1$  and  $N(m, n) = N(m - 1, n - 1) \leq F(n - 1)$  by the

<sup>3</sup>Note that there is an abuse of notation here. All the  $a_i$ s are now not elements of  $A$  as in the previous part of the paper. Instead, these  $a_i$ s (and  $b_i$ s) are variables in this context.

inductive hypothesis. Suppose that  $p$  divides  $m$ , so  $b_1$  must be zero. Let  $s$  be the least integer such that  $p^s \leq m$ . Then the system (6) is reduced to

$$(7) \quad \begin{cases} 2 \sum_{i=1}^s a_i + \sum_{j=2}^{s+1} b_j = n, \\ \sum_{i=1}^s (a_i + b_{i+1})p^i = m. \end{cases}$$

Let  $S$  be the set of solutions to this system. Set

$$U = \{(a, b) = (a_1, \dots, a_s, b_2, \dots, b_{s+1}) : (a, b) \text{ satisfies (7),} \\ \exists i_0 \text{ so that } a_{i_0} \geq 1, b_{i_0+1} = 0\}.$$

Then  $S$  is the union of the disjoint subsets  $U$  and  $V = S \setminus U$ . We now give an upper bound to each set. For each solution  $(a, b)$  in  $U$ , we choose the largest such  $i_0$  and make a replacement  $a_{i_0} \mapsto a_{i_0} - 1$  and  $b_{i_0+1} \mapsto 1$ . The resulting tuple is a solution of

$$\begin{cases} 2 \sum_{i=1}^s a_i + \sum_{j=2}^{s+1} b_j = n - 1, \\ \sum_{i=1}^s (a_i + b_{i+1})p^i = m. \end{cases}$$

This replacement is a one-to-one mapping from  $U$  to the set of solutions to the system above. It follows from the inductive hypothesis that

$$|U| \leq N(m, n - 1) \leq F(n - 1).$$

Every solution  $(a, b)$  in  $V$  satisfies the condition that whenever  $a_i > 0$ ,  $b_{i+1} = 1$ . Setting  $d_i = a_i + b_{i+1}$  for all  $1 \leq i \leq s$ , we can see that each solution  $(a, b)$  in  $V$  is one-to-one corresponding <sup>4</sup> to a solution  $(d_1, \dots, d_s)$  to the system

$$(8) \quad \begin{cases} \sum_{i=1}^s d_i \leq n, \\ \sum_{i=1}^s d_i p^i = m, \end{cases}$$

where the inequality is obtained from rewriting the first equation of (7) to

$$\sum_{i=1}^s d_i = n - \sum_{i=1}^s a_i.$$

Consider the following cases.

- If  $\sum_{i=1}^s a_i \leq 2$  then  $d_i \leq a_i + 1 \leq 3 < p$  for all  $1 \leq i \leq s$  (recall that  $p \geq 5$ ).

Hence, the sum  $\sum_{i=1}^s d_i p^i$  must be the  $p$ -adic expansion of  $m$  and so there is

at most one solution to the system (8) for the case when  $n - 2 \leq \sum_{i=1}^s d_i \leq n$ .

<sup>4</sup>The inverse is defined by setting  $b_{i+1} = 1$  and  $a_i = d_i - 1$  if  $d_i > 0$ , otherwise,  $a_i = b_{i+1} = 0$ .

- If  $\sum_{i=1}^s a_i \geq 3$  then  $\sum_{i=1}^s d_i = n - \sum_{i=1}^s a_i \leq n - 3$ . By Theorem 2.3, the number of solutions to (8), restricted to this case, is bounded by  $F(n - 3)$ .

Summing up the two cases, we have  $|V| \leq 1 + F(n - 3) \leq F(n - 2)$ . Therefore, we obtain

$$N(m, n) = |S| = |U| + |V| \leq F(n - 1) + F(n - 2) = F(n),$$

which completes our inductive proof.  $\square$

We believe that the theorem also hold for  $p = 3$ . Unfortunately, our method does not work with this small prime. A different approach might be needed to tackle this case. Using the same idea as in the previous section, we can only prove the following

**Proposition 3.2.** *If  $p = 3$ , then we have for all integers  $m \geq 0, n \geq 1$*

$$\dim H^n(SL_2, V(m)) \leq F(2n).$$

*Proof.* Same argument as in the proof of the last theorem, we reduce to showing that  $N(m, n) \leq F(2n - 2)$  for all integers  $m \geq 1, n \geq 2$ . Recall that  $N(m, n)$  is the number of solutions to the system (7). Again, by [LNZ, Proposition 3.6], the inequality holds for  $n \leq 4$ . For  $n \geq 5$ , we assume that the inequality holds up to  $n - 1$ . For (7) to have solutions, we must have either one (or more) of the following conditions

$$\begin{aligned} a_s + b_{s+1} \geq 1, a_{s-1} + b_s \geq 2, a_{s-2} + b_{s-1} \geq p + 1, a_{s-3} + b_{s-2} \geq p + 2, \\ a_{s-4} + b_{s-3} \geq p^2 - p + 1, a_{s-5} + b_{s-4} \geq p^2 + 1, a_{s-6} + b_{s-5} \geq p^3 + 1, \end{aligned}$$

and  $a_{s-i} + b_{s-i+1} \geq p^{\lfloor \frac{i}{2} \rfloor} + 1$  for all  $i \geq 6$ . For otherwise, we would have

$$\sum_{i=1}^s (a_i + b_{i+1})p^i \leq 2(p^{s-1} + p^{s-2} + \dots + 1) \leq p^s - 1 < p^s \leq m.$$

Let  $N_i$  be the number of solutions to the system (7) restricted to each of these conditions respectively. Then it is easy to see that  $N(m, n)$  is no more than the sum of all these  $N_i$ s. We next consider each  $N_i$ .

If  $a_s + b_{s+1} \geq 1$ , then there are 2 cases:

- $b_{s+1} = 0$  and  $a_s \geq 1$ . Then the system (7) can be rewritten to

$$\begin{cases} 2 \sum_{i=1}^{s-1} a_i + \sum_{j=2}^s b_j = n - 2a_s, \\ \sum_{i=1}^{s-1} (a_i + b_{i+1})p^i = m - a_s p^s. \end{cases}$$

Hence, by the inductive hypothesis the number of solutions in this case is

$$\sum_{a_s=1}^{\lceil \frac{m}{p^s} \rceil} N(m - a_s p^s, n - 2a_s) \leq \sum_{a_s=1}^{\lceil \frac{m}{p^s} \rceil} F(2n - 4a_s - 2).$$



- $b_{s+1} = 1$  and  $a_s \geq 0$ . Then the system (7) can be rewritten to

$$\begin{cases} 2 \sum_{i=1}^{s-1} a_i + \sum_{j=2}^s b_j = n - 1 - 2a_s, \\ \sum_{i=1}^{s-1} (a_i + b_{i+1})p^i = m - p^s - a_s p^s. \end{cases}$$

Again, by the inductive hypothesis the number of solutions in this case is

$$\sum_{a_s=0}^{\lfloor \frac{m}{p^s} \rfloor} N(m - p^s - a_s p^s, n - 1 - 2a_s) \leq \sum_{a_s=0}^{\lfloor \frac{m}{p^s} \rfloor} F(2n - 4a_s - 4).$$

Now summing up theses 2 cases and using Lemma 2.1, we have

$$N_1 \leq \sum_{i=2}^{\lfloor \frac{m}{p^s} \rfloor} F(2n - 2i) \leq F(2n - 3).$$

If  $a_{s-1} + b_s \geq 2$  then  $a_{s-1} \geq 1$ . Now replacing  $a_{s-1}$  by  $a'_{s-1} + 1$  with  $a'_{s-1} \geq 0$  we have (7) rewritten to

$$\begin{cases} 2 \sum_{i=1}^{s-2} a_i + 2a'_{s-1} + 2a_s + \sum_{j=2}^{s+1} b_j = n - 2, \\ \sum_{i=1}^{s-2} (a_i + b_{i+1})p^i + (a'_{s-1} + b_s)p^{s-1} + (a_s + b_{s+1})p^s = m - p^{s-1}. \end{cases}$$

Hence, there are  $N_2 = N(m - p^{s-1}, n - 2)$  solutions in this case. Similar argument can be applied to obtain

- $N_3 = N(m - p^{s-1}, n - 2p)$
- $N_4 = N(m - p^{s-2} - p^{s-3}, n - 2p - 2) \dots$
- $N_s = N(m - p^{\lfloor \frac{s}{2} \rfloor}, n - 2p^{\lfloor \frac{s}{2} \rfloor})$ .

Now using the inductive hypothesis and Lemma 2.1, we obtain

$$\begin{aligned} N(m, n) &\leq F(2n - 3) + F(2n - 6) + F(2n - 4p - 2) + \dots + F(2n - 4p^{\lfloor \frac{s}{2} \rfloor} - 2) \\ &\leq F(2n - 2), \end{aligned}$$

completing our inductive proof. □

**Remark 3.3.** Theorem 3.1 does not hold for  $p = 2$ . Indeed, from [EHP, Corollary 3.2.2], the dimension of  $H^n(SL_2, V(m))$ , for any  $m, n \in \mathbb{N}$ , is equal to the number of solutions  $(a_1, \dots, a_r) \in \mathbb{N}^r$  of the system

$$(9) \quad \begin{cases} a_1 + a_2 + \dots + a_r = n + 1, \\ a_1 2 + a_2 2^2 + \dots + a_r 2^r = \frac{m}{2} + 1. \end{cases}$$

In the case when  $m = 286, n = 4$ , there are 6 solutions to the system (9) as follows

- $1 \cdot 2^4 + 4 \cdot 2^5 = 144$
- $3 \cdot 2^4 + 1 \cdot 2^5 + 1 \cdot 2^6 = 144$
- $2 \cdot 2^3 + 2 \cdot 2^5 + 1 \cdot 2^6 = 144$
- $2 \cdot 2^2 + 1 \cdot 2^3 + 2 \cdot 2^6 = 144$
- $4 \cdot 2^2 + 1 \cdot 2^7 = 144$
- $2 \cdot 2^1 + 1 \cdot 2^2 + 1 \cdot 2^3 + 1 \cdot 2^7 = 144$ .

Hence,  $\dim H^4(SL_2, V(286)) = 6 > F(5)$ . Instead, we can have the same bound as for the case  $p = 3$  as follows.

**Corollary 3.4.** *If  $p = 2$ , then for all integers  $m \geq 0, n \geq 1$*

$$\dim H^n(SL_2, V(m)) \leq F(2n).$$

*Proof.* By Corollary 2.6, it's straightforward to have

$$\dim H^n(SL_2, V(m)) \leq P\left(\frac{m}{2} + 1, n + 1, A_2\right) \leq F(2n + 1).$$

In fact, Corollary 2.6 implies that

$$\sum_{i=0}^n \dim H^i(SL_2, V(m)) \leq F(2n + 1).$$

It is possible to lower the bound for  $\dim H^n(SL_2, V(m))$  to  $F(2n)$ . Indeed, let  $M(\frac{m}{2} + 1, n + 1)$  be the number of solutions to the system (9). Similar inductive argument as in the proof of Theorem 2.5 may be used to establish

$$M(m, n) \leq F(2n - 2)$$

for all integers  $m \geq 1, n \geq 2$ , which is sufficient to show the corollary.  $\square$

**Remark 3.5.** Using exact same proof for [LNZ, Theorem 5.4] with Theorem 3.1 replacing [LNZ, Proposition 4.4] (following that the condition  $n \leq 2p - 3$  can be removed), we can prove that for  $p \geq 5$

$$\dim \text{Ext}_{SL_2}^n(V(m_2), V(m_1)) \leq F(n + 1) + (s - 1)F(n).$$

for  $m_1, m_2, n \in \mathbb{N}$ , and  $s$  the least positive integer such that  $m_2 < p^s$ . This is not a significant bound as it is not sharp even for the low degree  $n$ . For example, we have

$$\dim \text{Ext}_{SL_2}^n(V(m_2), V(m_1)) \leq n$$

for  $n \leq 3$ , see [LNZ, Section 5.1] for details. Finding a sharp bound, for large values of  $n$ , of these extension spaces is still an open problem. We propose the following

**Conjecture 3.6.** *For  $m_1, m_2 \in \mathbb{N}$ , and  $p \geq 3$ , we have*

$$\dim \text{Ext}_{SL_2}^n(V(m_2), V(m_1)) \leq F(n + 1).$$

**Remark 3.7.** The same arguments as in [LNZ, Section 6.2] can show, in the case when  $p \geq 5$ , that both  $\dim H^n(SL_2, L)$  and  $\dim H^n(SL_2(\mathbb{F}_{p^s}), L')$  are bounded by  $(2n + 7)F(n)$ , where  $L$  (resp.  $L'$ ) is any simple module over  $SL_2$  (resp. the finite group of Lie type  $SL_2(\mathbb{F}_{p^s})$  for any  $s \geq 1$ ). Again, this is an improvement of results in [LNZ, Section 6.2], but it is not a sharp upper bound even with small values of  $n$ .

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